

13281 USPTO
022304

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UTILITY PATENT APPLICATION TRANSMITTAL <i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i>	Attorney Docket No.	500.34521CC3
	First Inventor	OWASHI, HITOAKI
	Title	METHOD AND APPARATUS FOR RECEIVING A DIGITAL SIGNAL AND APPARATUS FOR RECORDING AND REPRODUCING THE DIGITAL SIGNAL
	Express Mail Label No.	

APPLICATION ELEMENTS SEE MPEP chapter 600 concerning utility patent application contents.	ADDRESS TO: Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450
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1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. Applicant claims small entity status.
See 37 CFR 1.27.
3. Specification [Total Pages: **51**]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings *(if filed)*
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. Drawing(s) (35 U.S.C. 113) [Total Sheets: **13**]
5. Oath or Declaration [Total Sheets: **2**]
 - a. Newly executed (original or copy)
 - b. Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 - i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
Named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
6. Application Data Sheet. See 37 CFR 1.76

7. CD-ROM or CD-R in duplicate, large table or Computer Program *(Appendix)*
8. Nucleotide and/or Amino Acid Sequence Submission *if applicable, all necessary*
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS	
9. <input type="checkbox"/>	Assignment Papers (cover sheet & documents(s))
10. <input type="checkbox"/>	37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney <i>(when there is an assignee)</i>
11. <input type="checkbox"/>	English Translation Document <i>(if applicable)</i>
12. <input checked="" type="checkbox"/>	Information Disclosure <input type="checkbox"/> Copies of IDS Citations Statement (IDS)/PTO-1449
13. <input type="checkbox"/>	Preliminary Amendment
14. <input checked="" type="checkbox"/>	Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i>
15. <input type="checkbox"/>	Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i>
16. <input type="checkbox"/>	Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. <input checked="" type="checkbox"/>	Other: Letter Claiming Right of Priority & Credit Card Payment Form


18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP) of prior application No.: **09/893,983**

Prior application information: Examiner: _____ Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when the portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number	020457	OR <input type="checkbox"/> Correspondence address below
Name	ANTONELLI, TERRY, STOUT & KRAUS, LLP	
Address		
City	State	Zip Code
Country	Telephone	Fax
	(703) 312-6600	(703) 312-6666
Name (Print/Type)	Registration No. (Attorney/Agent)	
Carl I. Brundidge	29,621	
Signature	Date	
	February 23, 2004	

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

22856 U.S. PTO
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13281 US PTO
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FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Complete if Known

Application Number	Not yet assigned
Filing Date	February 23, 2004
First Named Inventor	OWASHI, HITOAKI
Examiner Name	
Art Unit	
Attorney Docket No.	500.34521CC3

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) **770**

METHOD OF PAYMENT (check all that apply)

Check Credit Card Money Other None
Order

Deposit Account:
Deposit Account Number: 01-2135
Deposit Account Name: Antonelli, Terry, Stout & Kraus, LLP

The Director is authorized to: (check all that apply)
 Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) during the pendency of this application.
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION (continued)

3. ADDITIONAL FEES									
Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description		Fee Paid	
1051	2051	130	130	65	65	Surcharge - late filing fee or oath			
1052	2052	50	50	25	25	Surcharge - late provisional filing fee or cover sheet			
1053	1053	130	130			Non-English specification			
1812	1812	2,520	2,520			For filing a request for <i>ex parte</i> reexamination			
1804	1804	920*	920*			Requesting publication of SIR prior to Examination action			
1805	1805	1,840*	1,840*			Requesting publication of SIR after Examiner action			
1251	2251	110	110	55	55	Extension for reply within first month			
1252	2252	420	420	210	210	Extension for reply within second month			
1253	2253	950	950	475	475	Extension for reply within third month			
1254	2254	1,480	1,480	740	740	Extension for reply within fourth month			
1255	2255	2,010	2,010	1,005	1,005	Extension for reply within fifth month			
1401	2401	330	330	165	165	Notice of Appeal			
1402	2402	330	330	165	165	Filing a brief in support of an appeal			
1403	2403	290	290	145	145	Request for oral hearing			
1451	1451	1,510	1,510			Petition to institute a public use proceeding			
1452	2452	110	110	55	55	Petition to revive - unavoidable			
1453	2453	1,330	1,330	665	665	Petition to revive - unintentional			
1501	2501	1,330	1,330	665	665	Utility issue fee (or reissue)			
1502	2502	480	480	240	240	Design issue fee			
1503	2503	640	640	320	320	Plant issue fee			
1406	1460	130	130			Petitions to the Commissioner			
1807	1807	50	50			Processing fee under 37 CFR 1.17(q)			
1806	1806	180	180			Submission of Information Disclosure Stmt			
8021	8021	40	40			Recording each patent assignment per property (times number of properties)			
1809	2809	770	770	385	385	Filing a submission after final rejection (37 CFR § 1.129(a))			
1810	2810	770	770	385	385	For each additional invention to be examined (37 CFR § 1.129(b))			
1801	2801	770	770	385	385	Request for Continued Examination (RCE)			
1802	1802	900	900			Request for expedited examination of a design application			

FEE CALCULATION

1. BASIC FILING FEE							
Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1001	2001	770	770	385	385	Utility filing fee	770
1002	2002	340	340	170	170	Design filing fee	
1003	2003	530	530	265	265	Plant filing fee	
1004	2004	770	770	385	385	Reissue filing fee	
1005	2005	160	160	80	80	Provisional filing fee	
SUBTOTAL (1)							770

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

	Extra Claims	Fee from below	Fee Paid
Total Claims	1	-20** = x 18	=
Indep. Claims	1	-3** = x 86	=
Multiple Dependent			=

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description
1202	2202	18	9	Claims in excess of 20
1201	2201	86	43	Independent claims in excess of 3
1203	2203	290	145	Multiple dependent claim, if not paid
1204	2204	86	43	** Reissue independent claims over original patent
1205	2205	18	9	** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2) \$ <input type="text"/>				

**or number previously paid, if greater; For Reissues, see above.

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid **SUBTOTAL (3) (\$)**

SUBMITTED BY		Complete (if applicable)			
Name (Print/Type)	Carl I. Brundidge	Registration No. (Attorney/Agent)	29,621	Telephone	703-312-6800
Signature		Date	02/23/2004		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.